



2171
JRW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/019,101	
	Filing Date	12/20/2001	
	First Named Inventor	Hannel, et al.	
	Art Unit	2171	
	Examiner Name	Leroux, Etienne Pierre	
Total Number of Pages in This Submission	14	Attorney Docket Number	intdyn01.021

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px;">Remarks</div>	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <input type="checkbox"/> Return Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gordon E. Nelson, #30,093
Signature	
Date	14 June 2005

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Gordon E. Nelson		
Signature		Date	14 June 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



intdyn01.014

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(intdyn01.021)

Applicant: Hannel, et al. **Paper No.:** 6
Application No: 10/019,101 **Group Art Unit:** 2171
Filed: 12/20/01 **Examiner:** Etienne Leroux

Title: *Query interface to policy server*

Commissioner for Patents
Alexandria, VA 22313-1450

**Supplementary Response to a non-final Office action under 37 C.F.R.
1.111**

Explanation

Applicants received an Office action in the above application mailed 3/4/2004 to which they responded with a petition for an extension of time on 9/2/04; however, in their response, Applicants inadvertently turned off the change bars that showed their amendments. Examiner sent Applicants a second Office action on 5/20/05 pointing out this difficulty. Applicants are now responding to the Office action of 5/20/05 by resubmitting the response of 9/2/04, this time with the change bars turned on. The complete text of the response of 9/2/04 with the change bars turned on follows.

Summary of the prosecution

This patent application is the U.S. National Phase of PCT/US00/17078, filed on 21 June 2000 and claiming priority from U.S. provisional patent application 60/140,417, filed 22 June 99. The US National Phase application is further a continuation-in-part of USSN 09/720,277, which is the US National Phase of PCT/US99/14585, filed 28 June 99 and claiming priority from U.S. provisional patent application 60/091,130, filed 29 June, 1998. PCT/US00/17078 received an International Preliminary Examination under PCT Chapter 2 in which claims 1-14 were found to meet the criteria of PCT Article 33(2)-(4).

In his Office action mailed 4 March 04, Examiner indicated that Applicant had provided an invalid PCT number in his priority claim, rejected claim 1 under 35 U.S.C. 112, second paragraph as being vague and indefinite, rejected claims 1, 2, 4-10, and 12-14 under 35 U.S.C. 102 as anticipated by U.S. Patent 5,504,890, Sanford, *System for data sharing among independently-operating information-gathering entities with individualized conflict resolution rules*, issued 2 April 1996 (hereinafter “Sanford”), rejected claim 3 under 35 U.S.C. 103(a) as unpatentable over the combination of Sanford with Forta, et al., *The Coldfusion 4.0 Web Application Construction Kit*, Third Edition, published Dec. 23, 1998 (henceforth “Forta”), and claim 11 under 35 U.S.C. 103(a) as unpatentable over the combination of Sanford with U.S. Patent 5,748,890, Goldberg, et al., *Method and system for authenticating and auditing access by a user to non-natively secured applications*, issued 5 May 1998.

Applicants are amending their Specification to properly claim priority from PCT /US00/170178 and to properly cite PCT/US99/14585, are amending claim 1 to overcome the rejection under 35 U.S.C. 112, second paragraph, and are traversing Examiner’s rejections of the claims under 35 U.S.C. 102 and 103. Applicants’ response is further accompanied by a petition for a three-month extension of time under 37 C.F.R. 1.136 and the requisite fee.